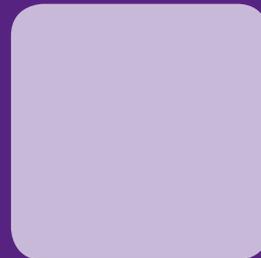
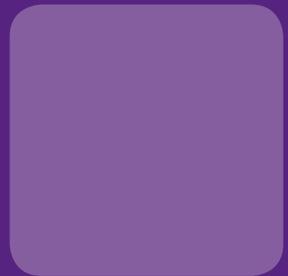




International Compliance Association
Excellence through Education

ICA DIPLOMA IN FINANCIAL CRIME PREVENTION

A professional qualification awarded
in association with University of
Manchester Business School



AN INTRODUCTION TO THE ICA DIPLOMA IN FINANCIAL CRIME PREVENTION

Financial Crime is a key threat for industry, law enforcement agencies and regulators alike. Fraud, corruption, insider dealing and money laundering risks must all be managed. The impact of getting it wrong can be catastrophic.

In response to the growing threats, a diverse range of public and private sector strategies and initiatives have developed in the past few years. Government led anti-fraud initiatives; new legislation, increased regulatory focus and a range of new technology solutions have emerged in the past decade.

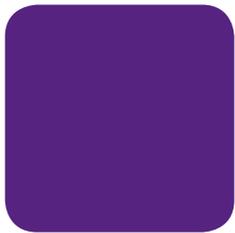
Does your organisation have the expertise to participate effectively in the new strategic approach to combating financial crime? The ICA Diploma in Financial Crime Prevention provides delegates with an insight into strategic approaches to combating financial crime, along with excellent opportunities to benchmark good practice whilst networking with fellow practitioners from a wide range of industry sectors.

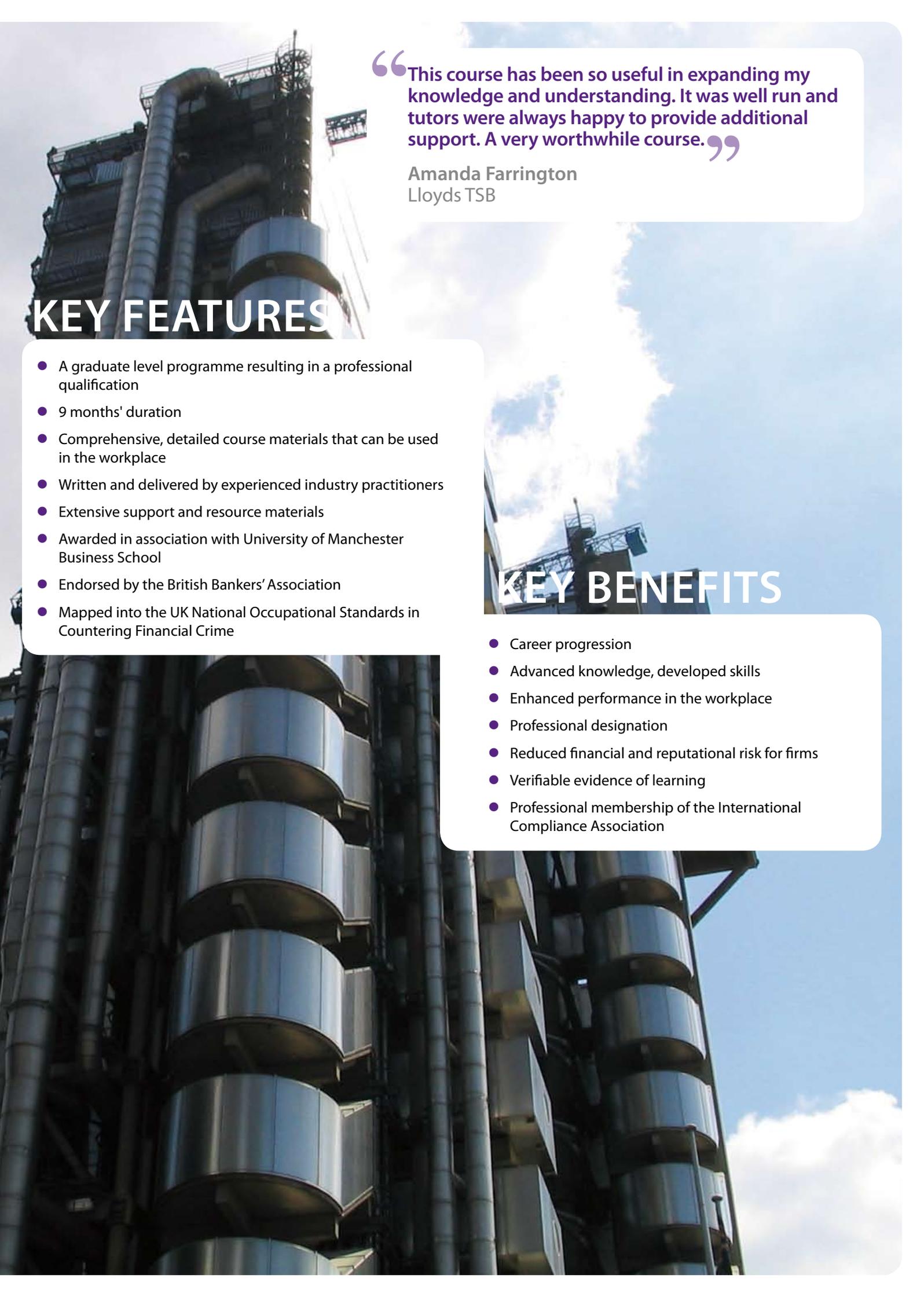
Fraud and financial crime costs the industry billions of pounds every year. It is widely recognised that investing in IT systems alone will not prevent this threat. Knowledgeable, well trained staff, who understand where the risks come from and how they can be mitigated are also an essential element. With criminals becoming increasingly sophisticated in approach, it is important that any training undertaken is suitably detailed, in order to stay one step ahead of the fraudsters.

A range of skills and knowledge are necessary to meet this evolving challenge. The ICA Diploma in Financial Crime Prevention can help. It is more than simple training. It is a professional qualification involving over 200 hours of study. Individuals undertaking the Diploma gain detailed knowledge on the nature of financial crime and how to prevent it. The Diploma considers the international perspective and demonstrates best practice. It provides practical skills that can be transferred to the workplace, and evidence of achievement can be seen from the Professional Qualification Certificate.

“The UK Diploma in Financial Crime Prevention is a very worthy course. Not only does it focus upon the continually changing landscape in relation to financial crime, it brings you demonstrably closer to your financial crime peers in the industry, a shared wealth of knowledge and experience. I think it is now a given that individuals whose working life is focussed in financial crime should ensure that their knowledge is sound and complete. This course achieves just that. It requires some hard work and commitment but the acquired knowledge and returns make this entirely justifiable. I have no hesitation in recommending it to the financial crime prevention industry.”

Alison Clair,
Lloyds TSB





“This course has been so useful in expanding my knowledge and understanding. It was well run and tutors were always happy to provide additional support. A very worthwhile course.”

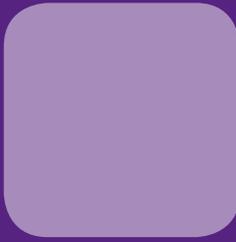
Amanda Farrington
Lloyds TSB

KEY FEATURES

- A graduate level programme resulting in a professional qualification
- 9 months' duration
- Comprehensive, detailed course materials that can be used in the workplace
- Written and delivered by experienced industry practitioners
- Extensive support and resource materials
- Awarded in association with University of Manchester Business School
- Endorsed by the British Bankers' Association
- Mapped into the UK National Occupational Standards in Countering Financial Crime

KEY BENEFITS

- Career progression
- Advanced knowledge, developed skills
- Enhanced performance in the workplace
- Professional designation
- Reduced financial and reputational risk for firms
- Verifiable evidence of learning
- Professional membership of the International Compliance Association



WHO THE DIPLOMA WILL BENEFIT

- Fraud Prevention Officers and Managers and Deputies
- Money Laundering Reporting Officers and Deputies
- Money Laundering Prevention Officers and Deputies
- Managers with responsibility for internal anti money laundering, compliance or bribery and corruption controls
- Risk Managers
- Internal Auditors
- Regulatory staff
- Fraud Investigation staff
- Individuals who have completed the ICA Anti Money Laundering Awareness Certificate, or ICA Diploma in Anti Money Laundering or the ICA Certificate in Financial Crime Awareness

PROGRAMME DELIVERY

- Excellent course manuals, support readings and texts
- Dedicated online support
- Attendance at four one-day workshops
- Two written assignments (approx 3,000 words in length)
- One open book examination (3 hours and 15 minutes)

For those who have already amassed a degree of knowledge and experience, the ICA offers an 'exam only' option (i.e. no attendance at workshops or submission of assignments). To apply for this route to qualification, please send your CV to ict@int-comp.com

PROFESSIONAL QUALIFICATION AWARD

Following completion of the ICA Diploma in Financial Crime Prevention you will be able to use the designation 'Dip (Fin.Crime)'

The ICA Diploma in Financial Crime Prevention is awarded in association with University of Manchester Business School.

ADMISSION REQUIREMENTS

The ICA Diploma in Financial Crime Prevention has a practical focus and is designed for individuals who intend to work in fraud or financial crime prevention departments. The entry criteria are:

- Sound educational background, e.g. degree or professional qualification in an appropriate discipline or,
- Attainment of the ICA Certificate in Financial Crime Awareness (International or UK) or,
- Three years relevant work experience

If you would like to discuss the Diploma and how it might fit in with your current role and career aspirations, contact the ICA on 0121 362 7534 for an informal discussion with the Course Director.

FEES

Please see ICA or approved training provider website for details.

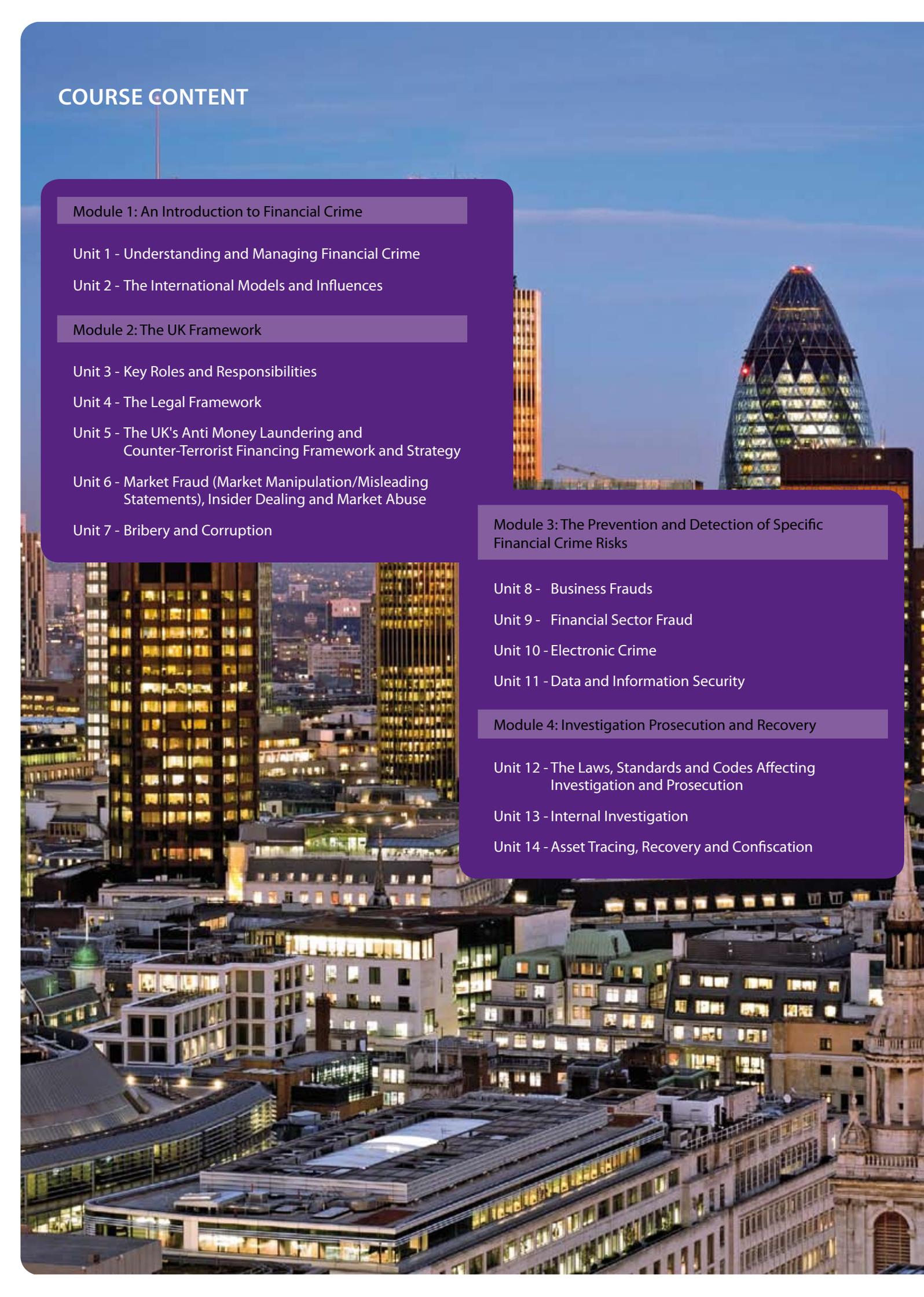
Prices for in-house delivery vary depending on the number undertaking the programme. Please contact the ICA for a quotation.

HOW TO APPLY

Complete an enrolment form online at www.int-comp.org/enrol or contact us on ict@int-comp.com or +44 (0) 121 362 7501.



COURSE CONTENT



Module 1: An Introduction to Financial Crime

Unit 1 - Understanding and Managing Financial Crime

Unit 2 - The International Models and Influences

Module 2: The UK Framework

Unit 3 - Key Roles and Responsibilities

Unit 4 - The Legal Framework

Unit 5 - The UK's Anti Money Laundering and Counter-Terrorist Financing Framework and Strategy

Unit 6 - Market Fraud (Market Manipulation/Misleading Statements), Insider Dealing and Market Abuse

Unit 7 - Bribery and Corruption

Module 3: The Prevention and Detection of Specific Financial Crime Risks

Unit 8 - Business Frauds

Unit 9 - Financial Sector Fraud

Unit 10 - Electronic Crime

Unit 11 - Data and Information Security

Module 4: Investigation Prosecution and Recovery

Unit 12 - The Laws, Standards and Codes Affecting Investigation and Prosecution

Unit 13 - Internal Investigation

Unit 14 - Asset Tracing, Recovery and Confiscation

INTERNATIONAL COMPLIANCE ASSOCIATION

The ICA is a professional, awarding body with a global membership. Since it was founded in 2001, thousands of individuals have completed ICA's diploma and certificate programmes all over the world. ICA's internationally recognised qualifications are a benchmark of professionalism, competence and excellence. The ICA is the government appointed Lead Provider of Financial Industry Competency Standards, accredited training and assessment programmes in the field of compliance in Singapore. The ICA helps businesses, regulatory authorities, government agencies and clients promote best practice through education, dialogue, information exchange and detailed resource material. The ICA aims to help improve the performance of individuals, firms and the industry as a whole.



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DETAILS OF OTHER ICA QUALIFICATIONS AVAILABLE

The ICA delivers a wide range of qualifications, appropriate to the financial services sector, both in the UK and overseas. For beginners and experienced practitioners alike, the ICA Certificate and Diploma qualifications increase knowledge, improve skills and enhance understanding. Certificate programmes are designed for entry-level staff new to a particular discipline. Content focuses on awareness and on the introduction of the key issues involved. They form a solid foundation for future learning. Diploma programmes are designed for more senior practitioners, those who have already amassed a level of experience. Certificate and Diploma qualifications cover the following subjects:

- Anti Money Laundering
- Compliance
- Financial Crime Prevention

THE ICA STANDARDS OF COMPETENCE

ICA qualifications are awarded in association with the University of Manchester Business School and are mapped into The National Occupational Standards of Competence. These standards, created by the UK Financial Skills Partnership, (FSP), are in Anti Money Laundering, Compliance and Countering Financial Crime. All ICA Diplomas are recognised by the FSP at the highest level. They are classified as 'recommended' on the FSP official Examination List. Full details can be found at www.fssc.org.uk.

Additionally, ICA qualifications are recognised by regulators and education authorities globally as a benchmark of competence and excellence.

MEMBERSHIP OF THE INTERNATIONAL COMPLIANCE ASSOCIATION

Upon successful completion of the ICA Diploma in Financial Crime Prevention, an invitation is extended to become a member of the International Compliance Association and use the designation MICA, (Member, International Compliance Association). After a further 5 years professional experience Members of the ICA are invited to apply for Fellowship status and use the designation FICA, (Fellow, International Compliance Association). For more information on ICA Membership please visit the ICA Website.

INTERNATIONAL COMPLIANCE TRAINING

International Compliance Training is the approved training partner of the International Compliance Association. ICT is dedicated to supporting financial services practitioners as they study ICA qualifications. Their unique blended learning approach, combining high quality materials, interactive workshops and dedicated support, ensures a successful learning experience for all. The emphasis is on helping individuals succeed, develop their careers and reach their full potential. ICT has regional offices in Singapore and Dubai.



UNIVERSITY OF MANCHESTER BUSINESS SCHOOL

MBS is the UK's leading centre for business research, offering an impressive range of programmes and services to both students and businesses. We provide world-class business and management education to undergraduates, postgraduates, highly-experienced practitioners and people wishing to pursue academic careers. We compete on a global scale for the best students and staff, and continue to generate ground-breaking research that is recognised by peers and practitioners across the globe.



BRITISH BANKERS' ASSOCIATION

The BBA is the leading association for the UK banking and financial services sector, speaking for over 200 banking members from 60 countries on the full range of UK and international banking issues and engaging with over 50 associated professional firms. Collectively providing the full range of services, our member banks make up the world's largest international banking centre, operating some 140 million accounts.



The voice of banking & financial services



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